

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: \_\_\_\_\_ )  
Raymond R. Kiddy \_\_\_\_\_ ) Examiner: Not yet assigned  
Application No. \_\_\_\_\_ ) Art Unit: Not yet assigned  
Filed: January 23, 2004 )  
For: METHOD OF OBFUSCATING COMPUTER )  
INSTRUCTION STREAMS )  
\_\_\_\_\_  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicant hereby requests consideration of the enclosed Information Disclosure Statement pursuant to 37 C.F.R. §1.97(b). Attached are the PTO Forms 1449 and Forms 892 from the parent application filed on July 25, 2001 (Serial No. 09/915,827). This previous application is relied upon for an earlier filing date under 35 U.S.C. §120.

Pursuant to C.F.R. §1.98(d), copies of the references are not being provided herewith since they were previously sent to the Patent and Trademark Office. Please consider these cited documents in the currently pending §1.53(b) continuation application filed on January 23, 2004.

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I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

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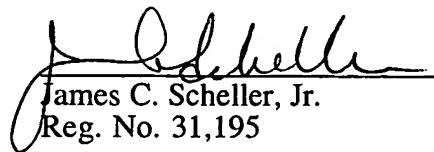
1-23-04  
(Datesigned)

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,

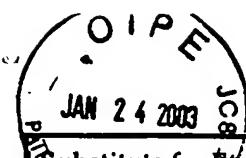
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 1/23, 2004



James C. Scheller, Jr.  
Reg. No. 31,195

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300



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## **FOREIGN PATENT DOCUMENTS**

**Examiner  
Signature**

Jazz Seal

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for Form 1449A/PTO (Modified) <small>(use as many sheets as necessary)</small>		Attorney Docket No.: 04860.P2611	Application Number: 09/915,827	
		First Named Inventor: Raymond R. Kiddy		
Page <u>2</u> of <u>2</u>		Filing Date: July 25, 2001		
<b>OTHER ART - NO PATENT LITERATURE DOCUMENTS</b>				
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		Translation <sup>2</sup>
JWS		PCT International Search Report for PCT Int'l Appln No. US 02/23605 mailed 26 November 2002 (7 pages).		
JWS		CHRISTIAN COLBERG, CLARK THOMBORSON & DOUGLAS LOW, "A Taxonomy of Obfuscating Transformations." <u>Technical Report, Department of Computer Science, University of Auckland</u> , No. 148 (July 1997), pages 1-36.		
JWS		SPENCER RUGABER, KURT STIREWALT, & LINDA M. WILLS, "The Interleaving Problem in Program Understanding." <u>Proceedings of the Second Working Conference on Reverse Engineering</u> (July 1995), pages 1-10.		
				<b>RECEIVED</b>
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				Technology Center 2100
Examiner Signature	<i>Terry Seal</i>		Date Considered	<i>16 Jan 2003</i>

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**Notice of References Cited**

Application/Control No.

09/915,827

Applicant(s)/Patent Under

Reexamination

KIDDY, RAYMOND R.

Examiner

James Seal

Art Unit

2131

Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-			
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
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	M	US-			

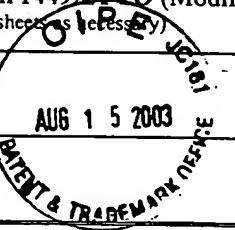
**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N	WO- 99/01815				
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	Collberg, Thomborson and Low, A Taxonomy of Obfuscating Transformation, Technical Report Department of Computer Science, University of Auckland July 1997
	V	Rugaber, et. al. The Interleaving Problem in Program Understanding, Proc. of the secon working conference on Reverse Engineering IEEE July 1995
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
 Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

Substitute for Form 1449A PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 04860.P2611	Application Number: 09/915,827		
		First Named Inventor: Raymond R. Kiddy			
		Filing Date: July 25, 2001			
<b>U.S. PATENT DOCUMENTS</b>					
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
JWS		Number Kind Code <sup>2</sup> (If known)			
JWS		US5,903,761	Tyma	05-11-1999	
JWS		US6,059,840	Click, Jr.	05-09-2000	
JWS		US6,102,966	Tyma	08-15-2000	
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## **OTHER ART – NO PATENT LITERATURE DOCUMENTS**

EXAMINER'S NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>

Examiner Signature	Faces Deal	Date Considered	24 Sept 203
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